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J-971 U.S. PRO

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. M0274/7028 SJH

First Named Inventor or Application Identifier

Ruslan Gennadievich Fedorovsky and Peter Stuart Morris

Express Mail Label No.

EL 056 825 922 US

Date of Deposit

January 26, 2001

629123/40  
01/26/01  
15537

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total pages 31]  
20 - pages description  
1 - pages abstract  
10 - pages claims - Total claims
3. ☒ Drawing(s) (35 USC 113) [Total sheets 8]  
☐ Informal ☒ Formal [Total drawings 1-8]
4. ☒ Oath or Declaration [Total pages 3]  
a. ☒ Newly executed (copy)  
b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]  
i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by Reference  
(usable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ADDRESS Box Patent Application  
TO: Commissioner for Patents  
Washington, DC 20231

6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers/cover sheet & documents(s)
9. ☐ 37 CFR 3.73(b) Statement  
(when there is an assignee)  
☐ Power of Attorney
10. ☐ English Translation of Document (if applicable)
11. ☐ Information Disclosure Statement PTO-1449  
☐ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☐ Small Entity Statement(s)  
☐ Statement filed in prior application, Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

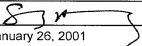
16. Other: This application claims priority from UK application no. 0029123.7, filed November 29, 2000.

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:
- ☐ Cancel in this application original claims of the prior application before calculating the filing fee.
- ☐ Amend the specification by inserting before the first line the sentence:

This application is a ☐ continuation ☐ divisional of application serial no. , filed , entitled , and now .

| 18. CORRESPONDENCE ADDRESS   |                                 |           |                |     |                |
|------------------------------|---------------------------------|-----------|----------------|-----|----------------|
| Correspondence address below |                                 |           |                |     |                |
| ATTORNEY'S NAME              | Steven J. Henry, Reg. No 27,900 |           |                |     |                |
| NAME                         | Wolf, Greenfield & Sacks, P.C.  |           |                |     |                |
| ADDRESS                      | 600 Atlantic Avenue             |           |                |     |                |
| CITY                         | Boston                          | STATE     | MA             | ZIP | 02210          |
| COUNTRY                      | USA                             | TELEPHONE | (617) 720-3500 | FAX | (617) 720-2441 |

| 19. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED |   |
|---|---|
| NAME  | Steven J. Henry, Reg. No. 27,900  |
| SIGNATURE   |  |
| DATE  | January 26, 2001  |

Inventor or Identifier: Ruslan Gennadievich Fedorovsky and Peter Stuart Morris

Serial No: Not yet assigned

Filed: Herewith

CHECK BOX, if applicable:

For: FILE TRANSFER METHOD AND SYSTEM

☐ **DUPLICATE**

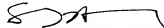
**Fee Calculation Sheet**

| CLAIMS | FOR  | NUMBER FILED | NUMBER EXTRA | RATE                             | FEE          |
|--------|--|--------------|--------------|----------------------------------|--------------|
|        | TOTAL CLAIMS<br>(37 CFR 1.16(c))   | 123-20=      | 103 x        | \$18                             | = \$1,854.00 |
|        | INDEPENDENT<br>CLAIMS (37 CFR<br>1.16(b))                                  | 7-3=         | 4 x          | \$80                             | = \$ 320.00  |
|        | MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) +               |              |              | \$260                            | = \$ 260.00  |
|        |  |              |              | BASIC FEE<br>(37 CFR<br>1.16(a)) | \$ 710.00    |
|        | Total of above Calculations =  |              |              |                                  | \$ 3,144.00  |
|        | Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28). |              |              |                                  | \$ 1,572.00  |
|        | Assignment Recordation Fee (if any)  |              |              |                                  | \$ .00       |
|        | Other Fees (if any).   |              |              |                                  | \$           |
|        | TOTAL =  |              |              |                                  | \$ 1,572.00  |

1. A check in the amount of \$1,572.00 is enclosed.

**General Authorization to Charge Deposit Account and General Request for Extension of Time**

2. a. ☒ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ 1.16, 1.17 or ☐ 1.18, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.
- b. ☐ The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ 1.16, 1.17 or ☐ 1.18.
3. If the filing of any paper in this application necessitates an extension of time under 37 CFR § 1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.

  
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 Attorneys of Record

Docket No. M0274/7028 SJH  
 Date: January 26, 2001